

AUSPOL-008 CHAIN OF RESPONSIBILITY (CoR) POLICY

PURPOSE:

To ensure compliance with our moral, legal and ethical 'duty of care' to provide a safe and healthy work environment for workers, contractors, sub-contractors, suppliers, clients and visitors at any work site under our direct control. This is extended to include members of the public and anyone who may be impacted by our operations.

OBJECTIVES:

To achieve a safe and healthy working environment and culture within AusLinc Pty Ltd by providing clear and consistent information, support and training to achieve the commitment and cooperation of its management, workers, contractors, sub-contractors and visitors on any site under our control or at any other time when acting on behalf of, or representing, the Company.

To provide guidance to all workers and subcontractors of the Company regarding the legislative requirements of Chain of Responsibility and to give our clients confidence that goods carried by AusLinc Pty Ltd will always conform to all CoR legislation and that no legal action will result as a consequence of a CoR breach.

To achieve this AusLinc Pty Ltd commits to compliance with all Chain of Responsibility legislation and associated regulations and will ensure all other parties in the Chain of Responsibility are compliant with their requirements under the law. In implementing this Policy, AusLinc Pty Ltd will:

- Maintain current knowledge regarding any changes to legislation, Codes of Practice and Regulations; and
- Apply risk management principles in the identification, assessment and control of risks involved in all our activities involving heavy vehicles; and
- Provide procedures and supporting documentation appropriate for the guidance of all workers and subcontractors to manage compliance with:
 - Speed;
 - Fatigue;
 - Mass;
 - Maintenance;
 - Dimension & Loading; and
 - Vehicle Standards requirements using the "best practice" approach; and
- Develop regular reporting, internal reviews and document or record actions taken to manage safety; and
- Continue a collaborative approach to policy, procedure and schedule development with our workers and subcontractors; and
- Provide appropriate, role specific training for all our workers involved in the supply chain.

Any breach of this Policy and supporting written instructions may result in disciplinary action.

RESPONSIBILITIES

- The Managing Director is accountable for the Company's compliance with this policy and must demonstrate due diligence in all HSE matters.
- The HSE Compliance Officer is responsible for ensuring this policy is maintained and current versions available.
- All line managers and supervisors are responsible for ensuring the correct procedures are implemented.
- **ALL PARTIES IN THE SUPPLY CHAIN** are responsible for ensuring breaches of road transport laws do not occur.
- Duty holders need to make sure that their action *or inaction* does not contribute to or encourage breaches of the HVNL. If a party's actions, inactions or demands cause or contribute to an offence, they can be held legally accountable.
- Put simply: ***influence = responsibility = legal liability***.

Operator/manager/scheduler As an operator, manager, or scheduler of a business involved in road transport, your responsibilities also include ensuring that:	rosters and schedules do not require drivers to exceed driving hours regulations or speed limits you keep records of your drivers' activities, including work and rest times you take all reasonable steps to ensure drivers do not work while impaired by fatigue or drive in breach of their work or rest options vehicles are regularly maintained, and if speed limiters are fitted, they are functioning properly vehicles are not loaded in a way which exceeds mass or dimension limits drivers moving freight containers have a valid Container Weight Declaration loads are appropriately restrained with appropriate restraint equipment
Consignor/consignee As consignor or consignee your responsibilities include ensuring that:	loads do not exceed vehicle mass or dimension limits goods carried on your behalf are able to be appropriately secured operators carrying freight containers have a valid Container Weight Declaration your delivery requirements do not require or encourage drivers to: ○ exceed the speed limits ○ exceed regulated driving hours ○ fail to meet the minimum rest requirements ○ drive while impaired by fatigue.
Driver of Heavy Vehicle As a driver, you are responsible to ensure that:	your vehicle does not exceed mass limits your vehicle and load do not exceed dimension limits your load is appropriately restrained. you drive within speed limitations and monitor your fatigue and rest breaks.
Loading manager/loader/packer Loading managers, loaders and packers must ensure that loading a fatigue-regulated heavy vehicle will not cause or contribute to the driver driving while impaired by fatigue or in contravention of road transport laws.	<i>Loading manager</i> responsibilities include: working with other off-road parties to make reasonable arrangements to manage loading/unloading time slots ensuring vehicles are loaded/unloaded as quickly and efficiently as possible putting systems in place for unexpected jobs – for example where there have been unexpected road delays.
	<i>Loader</i> responsibilities include ensuring a vehicle's load: does not exceed vehicle mass or dimension limits does not cause the vehicle to exceed mass limits is placed in a way so it does not become unstable, move or fall off the vehicle. Unreliable weight information makes it difficult for drivers to comply with the law.
	<i>Packer</i> responsibilities include ensuring: documentation about the vehicle's load is not false or misleading any goods packed in a freight container do not cause the container's gross weight or safety approval rating to be exceeded

RELATED COMPANY WRITTEN INSTRUCTIONS

- AUSPRO-002 Fatigue Management
- AUSPRO-003 Dimension & Loading
- AUSPRO-004 Vehicle Maintenance & Servicing
- AUSPRO-005 Accident, Incident Reporting
- AUSPRO-008 Chain of Responsibility
- AUSPRO-009 Communication & Consultation
- AUSPRO-016 Permits & Conditions (inc) BVS
- AUSPRO-019 Load Restraint
- AUSPRO-022 Operating Prime Mover
- AUSPRO-030 Subcontractor Management
- AUSDOC-003 Employee Induction Manual
- AUSDOC-016 Company Structure
- AUSDOC-098 HSEQ Management Plan

COMMITMENT

This policy is fully approved and supported by the AusLinc Pty Ltd management and reviewed on an annual basis, or earlier if changes are required based on changes to legislation or company operating standards.

Approved by: Craig Tait

MANAGING DIRECTOR: *C Tait* 01/12/2024